

# When In-Network Processing Meets Time: Complexity and Effects of Joint Optimization in Wireless Sensor Networks

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**Abstract**—As sensornets are increasingly being deployed in mission-critical applications, it becomes imperative that we consider application QoS requirements in in-network processing (INP). Towards understanding the complexity of joint QoS and INP optimization, we study the problem of jointly optimizing packet packing (i.e., aggregating shorter packets into longer ones) and the timeliness of data delivery. We identify the conditions under which the problem is strong NP-hard, and we find that the problem complexity heavily depends on aggregation constraints (in particular, maximum packet size and re-aggregation tolerance) instead of network and traffic properties. For cases when the problem is NP-hard, we show that there is no polynomial-time approximation scheme (PTAS); for cases when the problem can be solved in polynomial time, we design polynomial time, offline algorithms for finding the optimal packet packing schemes. To understand the impact of joint QoS and INP optimization on sensornet performance, we design a distributed, online protocol *tPack* that schedules packet transmissions to maximize the local utility of packet packing at each node. Using a testbed of 130 TelosB motes, we experimentally evaluate the properties of *tPack*. We find that jointly optimizing data delivery timeliness and packet packing and considering real-world aggregation constraints significantly improve network performance. Our findings shed light on the challenges, benefits, and solutions of joint QoS and INP optimization, and they also suggest open problems for future research.

**Index Terms**—Wireless network, sensor network, real-time, packet packing, in-network processing

## I. INTRODUCTION

After the past decade of active research and field trials, wireless sensor networks (which we call *sensornets* hereafter) have started penetrating into many areas of science, engineering, and our daily life. They are also envisioned to be an integral part of cyber-physical systems such as those for alternative energy, transportation, and healthcare. In supporting mission-critical, real-time, closed loop sensing and control, CPS sensornets represent a significant departure from traditional sensornets which usually focus on open-loop sensing, and it is critical to ensure messaging quality (e.g., timeliness of data delivery) in CPS sensornets. The stringent

application requirements in CPS make it necessary to rethink about sensornet design, and one such problem is in-network processing.

For resource constrained sensornets, in-network processing (INP) improves energy efficiency and data delivery performance by reducing network traffic load and thus channel contention. Over the past years, many INP methods have been proposed for query processing (e.g., TinyDB [1]) and general data collection (e.g., DFuse [2]). Not focusing on mission-critical sensornets, however, these works have mostly ignored the timeliness of data delivery when designing INP mechanisms. Recently, Becchetti *et al.* [3] and Oswald *et al.* [4] examined the issue of data delivery latency in in-network processing. Theoretical in nature, these studies assumed *total aggregation* where any arbitrary number of information elements (e.g., reports after an event detection) can be aggregated into one single packet, which may well be infeasible in many practical settings. Thus, the interaction between specific, real-world INP methods and data delivery timeliness remains a largely unexplored issue in sensornet systems. This is an important issue because 1) it affects the efficiency and quality of real-time embedded sensing and control, and 2) as we will show later in the paper, data aggregation constraints (e.g., aggregation capacity limit and re-aggregation tolerance) affect, to a greater extent than network and traffic properties, the complexity and the protocol design in jointly optimizing INP and the timeliness of data delivery.

Towards understanding the interaction between INP and data delivery latency in foreseeable real-world sensornet deployments, we focus on a widely used, application-independent INP method — *packet packing* where multiple short packets are aggregated into a single long packet [5], [6]. In sensornets (especially those for real-time sensing and control), an information element from each sensor is usually short, for instance, less than 10 bytes [7], [1]. Yet the header overhead of each packet is relatively high in most sensornet platforms, for instance, up to 31 bytes at the MAC layer alone in IEEE 802.15.4 based networks. It is also expected that more header overhead will be introduced at other layers (e.g., routing layer) as we standardize sensornet protocols such as in the effort of the IETF working groups 6LowPAN [8] and ROLL [9]. Besides header overhead, MAC coordination also introduces non-negligible overhead in wireless networks [6]. If we only transmit one short information element in each packet transmission, the high overhead in packet transmission will

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significantly reduce the network throughput; this is especially the case for high speed wireless networks such as IEEE 802.15.4a ultrawideband (UWB) networks. Fortunately, the maximum size of packet payload is usually much longer than that of each information element, for instance, 128 bytes per MAC frame in 802.15.4. Therefore, we can aggregate multiple information elements into a single packet to reduce the amortized overhead of transmitting each element. Packet packing also reduces the number of packets contending for channel access, hence it reduces the probability of packet collision and improves information delivery reliability, as we will show in Section V. The benefits of packet packing have also been recognized by the IETF working groups 6LowPAN and ROLL.

Unlike total aggregation assumed in [3] and [4], the number of information elements that can be aggregated into a single packet is constrained by the maximum packet size, thus we have to carefully schedule information element transmissions so that the degree of packet packing (i.e., the amount of sensing data contained in packets) can be maximized without violating application requirement on the timeliness of data delivery. As a first step toward understanding the complexity of jointly optimizing INP and QoS with aggregation constraints, we analyze the impact that aggregation constraints have on the computational complexity of the problem, and we prove the following:

- When a packet can aggregate three or more information elements, the problem is strong NP-hard, and there is no polynomial-time approximation scheme (PTAS).
- When a packet can only aggregate two information elements, the complexity depends on whether two elements in a packet can be separated and re-packed with other elements on their way to the sink: if the elements in a packet can be separated, the problem is strong NP-hard and there is no PTAS for the problem; otherwise it can be solved in polynomial time by modeling the problem as a maximum weighted matching problem in an interval graph.
- The above conclusions hold whether or not the routing structure is a tree or a linear chain, and whether or not the information elements are of equal length.

Besides shedding light on the complexity and protocol design of jointly optimizing data delivery timeliness and packet packing (as well as other INP methods), these findings incidentally answer several open questions on the complexity of batch-process scheduling in interval graphs [10].

To understand the impact of jointly optimizing packet packing and data delivery timeliness, we design a distributed, online protocol *tPack* that schedules packet transmissions to maximize the local utility of packet packing at each node while taking into account the aggregation constraint imposed by the maximum packet size. Using a testbed of 130 TelosB motes, we experimentally evaluate the properties of *tPack*. We find that jointly optimizing data delivery timeliness and packet packing and considering real-world aggregation constraints significantly improve network performance (e.g. in terms of high reliability, high energy efficiency, and low delay jitter).

The rest of the paper is organized as follows. We discuss

TABLE I  
NOTATIONS USED IN SECTIONS II & III

<i>Common notations</i>	
$K$	maximum number of information elements allowed in a packet
$ETX_{v_i v_j}(l)$	expected number of transmissions taken to successfully deliver a packet of length $l$ along link $(v_i, v_j)$
$t_{v_i v_k}(l)$	maximum time taken to deliver a packet of length $l$ from $v_i$ to $v_k$ in the absence of packet packing and packing-oriented scheduling
<i>Notations used in Section II only</i>	
$R$	root of a directed collection tree
$x$	an information element
$v_x$	the node where $x$ is generated
$l_x$	length of $x$
$r_x$	time when $x$ is generated
$d_x$	deadline of delivering $x$ to $R$
$s_x$	spare time in delivering $x$
$[r_x, d_x]$	lifetime of $x$
<i>Notations used in Section III only</i>	
$n$	number of variables in a SAT instance
$m$	number of clauses in a SAT instance
$X_j$	$j$ th variable of a SAT instance
$C_i$	$i$ th clause of a SAT instance
$x_i^j$	information element corresponding to the variable $X_j$ in a clause $C_i$
$[r_i^j, d_i^j]$	lifetime of $x_i^j$
$ax_k^j$	$k$ th auxiliary information element for variable $X_j$
$[r_{ax_k^j}, d_{ax_k^j}]$	lifetime of $ax_k^j$
$z_i$	information element generated by node $v_i^c$
$[r_i, d_i]$	lifetime of $z_i$
$t_1$	transmission time from any leaf node to its parent
$t_2$	transmission time from any node $v_j$ to node $v$
$t_3$	transmission time from node $v$ to node $s$
$t_4$	transmission time from any node $v_i^c$ to node $v$

the system model and precisely define the joint optimization problem in Section II. Then we analyze the complexity of the problem in Section III, and present the *tPack* protocol in Section IV. We experimentally evaluate the performance of *tPack* and study the impact of packet packing as well as joint optimization in Section V. We discuss related work in Section VI, and conclude the paper in Section VII. For convenience, we summarize in Table I the notations used in Sections II and III.

## II. SYSTEM MODEL AND PROBLEM DEFINITION

### A. System model

We consider a directed collection tree  $T = (V, E)$ , where  $V$  and  $E$  are the set of nodes and edges in the tree.  $V = \{v_i : i = 1 \dots N\} \cup \{R\}$  where  $R$  is the root of the tree and represents the data sink of a sensornet, and  $\{v_i : i = 1 \dots N\}$  are the set of  $N$  sensor nodes in the network. An edge  $\langle v_i, v_j \rangle \in E$  if  $v_j$  is the parent of  $v_i$  in the collection tree. The parent of a node  $v_i$  in  $T$  is denoted as  $p_i$ . We use  $ETX_{v_i v_j}(l)$  to denote the expected number of transmissions required for delivering a packet of length  $l$  from a node  $v_i$  to its ancestor  $v_j$ , and we use  $t_{v_i v_k}(l)$  to denote the maximum time taken to deliver a packet of length  $l$  from  $v_i$  to  $v_k$  in the absence of packet packing and packing-oriented scheduling.

Each information element  $x$  generated in the tree is identified by a 4-tuple  $(v_x, l_x, r_x, d_x)$  where  $v_x$  is the node that

generates  $x$ ,  $l_x$  is the length of  $x$ ,  $r_x$  is the time when  $x$  is generated, and  $d_x$  is the deadline by which  $x$  needs to be delivered to the sink node  $R$ . We use  $s_x = d_x - (r_x + t_{v_x R}(l_x))$  to denote the *spare time* for  $x$ , and we define the *lifetime* of  $x$  as  $[r_x, d_x]$ .

### B. Problem definition

Given a collection tree  $T$  and a set of information elements  $X = \{x\}$  generated in the tree, we define the problem of jointly optimizing packet packing and the timeliness of data delivery as follows:

**Problem  $\mathbb{P}$ :** given  $T$  and  $X$ , schedule the transmission of each element in  $X$  to minimize the total number of packet transmissions required for delivering  $X$  to the sink  $R$  while ensuring that each element be delivered to  $R$  before its deadline.

In an application-specific sensor network, the information elements generated by different nodes depend on the application but may well be of equal length [7]. Depending on whether the sensor network is designed for event detection or data collection, moreover, the information elements  $X$  may follow certain arrival processes. Based on the specific arrival process of  $X$ , the following special cases of problem  $\mathbb{P}$  tend to be of practical relevance in particular:

**Problem  $\mathbb{P}_0$ :** same as  $\mathbb{P}$  except that 1) the elements of  $X$  are of equal length, and 2)  $X$  includes at most one element from each node; this problem can represent sensor networks that detect rare events.

**Problem  $\mathbb{P}_1$ :** same as  $\mathbb{P}$  except that 1) the elements of  $X$  are of equal length, and 2) every two consecutive elements generated by the same node  $v_i$  are separated by a time interval whose length is randomly distributed in  $[a, b]$ ; this problem can represent periodic data collection sensor networks (with possible random perturbation to the period).

**Problem  $\mathbb{P}_2$ :** same as  $\mathbb{P}$  except that the elements of  $X$  are of equal length; this problem represents general application-specific sensor networks.

## III. COMPLEXITY OF JOINT OPTIMIZATION

The complexity of problem  $\mathbb{P}$  depends on aggregation constraints such as maximum packet size and whether information elements in a packet can be separated and repacked with other elements. For convenience, we use  $K$  to denote the maximum number of information elements that can be packed into a single packet. (Note that  $K$  depends on the maximum packet size and the lengths of information elements in problem  $\mathbb{P}$ .) In what follows, we first analyze the case when  $K \geq 3$  and then the case when  $K = 2$ , and we discuss how aggregation constraints affect the problem complexity.

### A. Complexity when $K \geq 3$

We first analyze the complexity and the hardness of approximation for problem  $\mathbb{P}_0$ , then we derive the complexity of  $\mathbb{P}_1$ ,  $\mathbb{P}_2$ , and  $\mathbb{P}$  accordingly. The analysis is based on reducing the

Boolean-satisfiability-problem (SAT) [11] to  $\mathbb{P}_0$  as we show below.

**Theorem 1:** When  $K \geq 3$ , problem  $\mathbb{P}_0$  is strong NP-hard whether or not the routing structure is a tree or a linear chain.

**Proof:** To prove that  $\mathbb{P}_0$  is strong NP-hard, we first present a polynomial transformation  $f$  from the SAT problem to  $\mathbb{P}_0$ , then we prove that an instance  $\Pi$  of SAT is satisfiable if and only if the optimal solution of  $\Pi' = f(\Pi)$  has certain minimum number of transmissions.

Given an instance  $\Pi$  of the SAT problem which has  $n$  Boolean variables  $X_1, \dots, X_n$  and  $m$  clauses  $C_1, \dots, C_m$ , we derive a polynomial time transformation from  $\Pi$  to an instance  $\Pi'$  of  $\mathbb{P}_0$  with  $K \geq 3$  as follows. We first construct a tree as shown in Figure 1. In this tree, node  $v_j$ ,  $j = 1, \dots, n$ , corresponds to the variable  $X_j$ . Node  $v$  is an intermediate node, and node  $S$  is the sink node.  $ETX_{v_j v}$  is  $D$  with  $D \gg 1$ , and  $ETX_{v S}$  is 1. If a variable  $X_j$  appears  $k_j$  times in total in the  $m$  clauses, then  $2k_j + 3$  children nodes are attached to node  $v_j$ , labeled as  $v_0^j, \dots, v_{2k_j+2}^j$ .  $m$  children are also attached to node  $v$ , labeled as  $v_1^c, \dots, v_m^c$ . Each of these edge has a  $ETX$  of 1. The transmission time from each child of  $v_j$  to itself is  $t_1$ , and the transmission time from  $v_i^c$  to  $v$  is  $t_4$ .

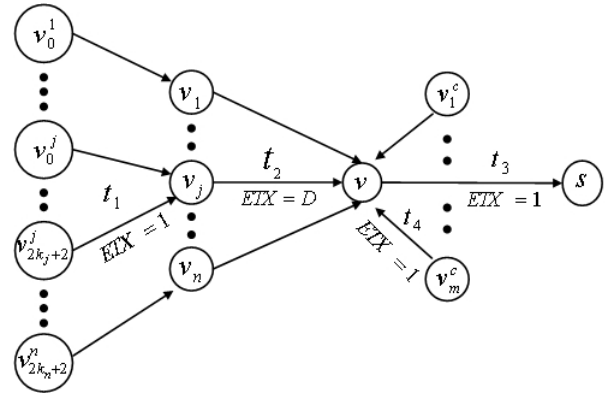


Fig. 1. Reduction from SAT to  $\mathbb{P}_0$  when  $K \geq 3$

After constructing the tree, we define the information elements and their lifetimes as follows. For each subtree rooted at node  $v_j$ , we first define  $2k_j + 1$  information elements and then assign them one by one to the leaf nodes  $v_1^j, \dots, v_{2k_j+1}^j$  of this subtree. If variable  $X_j$  occurs unnegated in clause  $C_i$ , we create an information element  $x_i^j$  with lifetime  $[r_i^j, d_i^j] = [(3i + 1)(n + 1) + j, (3i + 2)(n + 1) + j + t_1 + t_2 + t_3]$ . If  $X_j$  occurs negated in clause  $C_i$ , we create an information element  $\bar{x}_i^j : [r_i^j, d_i^j] = [3i(n + 1) + j, (3i + 1)(n + 1) + j + t_1 + t_2 + t_3]$ . Let  $v_1^j < \dots < v_{k_j}^j$  denote the indices of the clauses in which variable  $X_j$  occurs. For every two messages  $x_{i_t}^j$  and  $\bar{x}_{i_{t+1}}^j$ ,  $t = 1, \dots, k_j - 1$ , define an information element  $ax_{i_t}^j : [r_{a_t}^j, d_{a_t}^j] = [d_{i_t}^j - t_1 - t_2 - t_3, r_{i_{t+1}}^j + t_1 + t_2 + t_3]$ . We also define  $ax_0^j : [r_{a_0}^j, d_{a_0}^j] = [j, r_{i_1}^j + t_1 + t_2 + t_3]$ , and  $ax_{k_j}^j : [r_{a_{k_j}}^j, d_{a_{k_j}}^j] = [d_{i_{k_j}}^j - t_1 - t_2 - t_3, 3(m + 1)(n + 1) + j + t_1 + t_2 + t_3]$ . In this way, every two consecutive information elements in this sequence overlap in their lifetimes, and the

size of the overlap is  $t_1 + t_2 + t_3$ . After defining these  $2k_j + 1$  information elements, we set the source of each element one by one from node  $v_1^j$  to node  $v_{2k_j+1}^j$ . For each node  $v_0^j$ , we define an element  $z_0^j : [j, j + t_1 + t_2 + t_3]$ . For each node  $v_{2k_j+2}^j$ , we define an element  $z_{2k_j+2}^j : [3(m+1)(n+1) + j, 3(m+1)(n+1) + j + t_1 + t_2 + t_3]$ . Figure 2 demonstrates

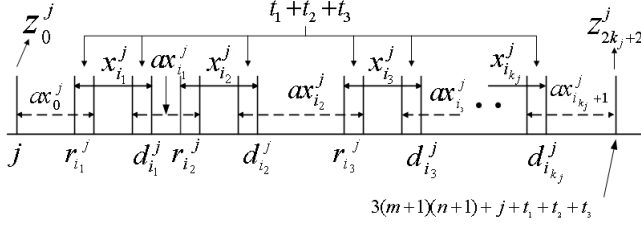


Fig. 2. Lifetimes of information elements

how the lifetimes of these  $2k_j + 3$  information elements are defined.

Similarly, we define  $m$  information elements generated by nodes  $v_1^c, \dots, v_m^c$ , with element  $z_i : [r_i, d_i] = [(3i+1)(n+1) + t_1 + t_2 - t_4, (3i+2)(n+1) + t_1 + t_2 + t_3]$ ,  $i = 1, \dots, m$ , being generated by node  $v_i^c$ . Then, for nodes  $v_1$  to  $v_n$ , we define an information element for each of them with lifetime  $[4(m+1)(n+1) + i, 4(m+1)(n+1) + i + t_2 + t_3]$ ,  $i = 1, \dots, n$ . For node  $v$ , define an information element with lifetime  $[4(m+1)^2(n+1) + i, 4(m+1)^2(n+1) + i + t_3]$ .

Given the above polynomial-time reduction from a SAT problem  $\Pi$  to an instance  $\Pi'$  of  $\mathbb{P}_0$  and the lemma, we can prove that the minimum number of transmissions required in  $\Pi'$  is  $tx_0 = \sum_{j=1}^n (2k_j + 1) + \sum_{j=1}^n [(k_j + 1)(D + 1)] + 2n(D + 1) + 2n + m + 1$  if and only if  $\Pi$  is satisfiable. That is, given a satisfiable assignment for  $\Pi$ , an optimal packing scheme can be found by sending elements at leaf nodes immediately after they were generated; additionally, if  $X_j$  is set to true in the assignment, node  $v_j$  will forward each element  $x_i^j$  ( $i = 1 \dots k_j$ ) to node  $v$  as soon as the element is received by  $v_j$ , and, if  $X_j$  is set to false,  $v_j$  will spending all of  $x_i^j$ 's spare time before forwarding it; this way, the total number of transmissions taken is  $tx_0$ . In the mean time, if we find an optimal packing scheme of cost  $tx_0$  for problem  $\Pi'$ , we can give a satisfiable assignment for  $\Pi$  by setting  $X_j$  to true if  $x_i^j$  ( $i = 1 \dots k_j$ ) is forwarded immediately from  $v_j$  to  $v$ , and setting  $X_j$  to false if all  $x_i^j$ 's are held by  $v_j$  until its spare time is used up. More details on this reasoning can be found in [12].

We have also proved that  $\mathbb{P}_0$  is NP-hard when the routing structure is a linear chain. Due to the limitation of space, we relegate the detailed proof to [12]. Therefore,  $\mathbb{P}_0$  is strong NP-hard when  $K \geq 3$ , whether or not the routing structure is a tree or a linear chain. ■

Having proved the strong NP-hardness of  $\mathbb{P}_0$  when  $K \geq 3$ , we analyze the hardness of approximation for  $\mathbb{P}_0$  using a gap-preserving reduction from MAX-3SAT to  $\mathbb{P}_0$  [13], and we have

*Theorem 2:* When  $K \geq 3$ , there exists  $\epsilon \geq 1$  such that it is NP-hard to achieve an approximation ratio of  $1 + \frac{1}{200N}(1 -$

$\frac{1}{\epsilon})$  for problem  $\mathbb{P}_0$ , where  $N$  is the number of information elements in  $\mathbb{P}_0$ .

*Proof:* We first show that the reduction presented in Figure 1 is a gap-preserving reduction [13] from MAX-3SAT to problem  $\mathbb{P}_0$ . It is easy to verify that the proof of Theorem 1 holds if the discussion of the proof is based on 3SAT instead of the general SAT problem, in which case  $\sum_{j=1}^n k_j = 3m$  and we denote the reduction as  $f$ . Therefore, if a 3SAT problem  $\Pi$  is satisfiable, the minimum cost of the  $\mathbb{P}_0$  problem  $\Pi' = f(\Pi)$  is

$$\begin{aligned} C_{t1} &= C_{t0} + m \\ &= (\sum_{j=1}^n (2k_j + 1) + \sum_{j=1}^n (k_j + 1)(D + 1) + 2n(D + 1) + 2n + 1) + m \\ &= m(3D + 10) + n(3D + 6) + 1 \end{aligned} \quad (1)$$

Since  $n < 4m$ , (1) implies that

$$\begin{aligned} C_{t1} &< m(3D + 10) + n(3D + 10) \\ &< 5m(3D + 10) \end{aligned} \quad (2)$$

Note that the proof of Theorem 1 holds if  $D = n + \sum_{j=1}^n (2k_j + 3) = 6m + n$ , which is the number of information elements generated by the descendants of node  $v$ . Thus, (2) implies that

$$\begin{aligned} C_{t1} &< 5m(3(6m + n) + 10) \\ &= 5m(18m + 3n + 10) \\ &< 5m(18m + 3 \times 4m + 10) \\ &= 5m(30m + 10) \\ &< 5m(30m + 10m) \\ &= 200m^2 \end{aligned} \quad (3)$$

If only  $m_0$  of the  $m$  clauses in  $\Pi$  are satisfiable, then the minimum cost in  $\Pi' = f(\Pi)$  (with  $K \geq 3$  is  $C_{t1} + m - m_0$ ). This is because  $(m - m_0)$  number of  $z_i$ 's cannot be packed with any other packet and have to be sent from node  $v$  to  $s$  alone, which incurs an extra cost of 1 each. Accordingly, if less than  $m_0$  of the  $m$  clauses in  $\Pi$  are satisfiable, then the minimum cost  $C'$  in  $\Pi' = f(\Pi)$  is greater than  $C_{t1} + m - m_0$ . Letting  $\epsilon = \frac{m}{m_0}$ , (3) implies that

$$\begin{aligned} \frac{C'}{C_{t1}} &> \frac{C_{t1} + m - m_0}{C_{t1} + \epsilon m_0 - m_0} \\ &= 1 + \frac{(C_{t1} - m_0)m_0}{(\epsilon - 1)m_0} \\ &> 1 + \frac{(C_{t1} - m_0)m_0}{200m^2} \\ &= 1 + \frac{\epsilon - 1}{200m} \frac{1}{\epsilon} \\ &= 1 + \frac{1}{200m} (1 - \frac{1}{\epsilon}) \\ &\geq 1 + \frac{1}{200N} (1 - \frac{1}{\epsilon}) \end{aligned} \quad (4)$$

where  $N$  is the number of non-sink nodes in the network and  $N \geq m$ .

Let  $OPT(\Pi)$  and  $OPT(\Pi')$  be the optima of a MAX-3SAT problem  $\Pi$  and the corresponding  $\mathbb{P}_0$  problem  $\Pi' = f(\Pi)$ . Then the polynomial-time reduction  $f$  from MAX-3SAT to  $\mathbb{P}_0$  satisfy the following properties:

$$\begin{aligned} OPT(\Pi) = 1 &\implies OPT(\Pi') = C_{t1} \\ OPT(\Pi) < \frac{1}{\epsilon} &\implies OPT(\Pi') > C_{t1} (1 + \frac{1}{200N} (1 - \frac{1}{\epsilon})) \end{aligned} \quad (5)$$



From [13], we know that there exists a polynomial-time reduction  $f_1$  from SAT to MAX-3SAT such that, for some fixed  $\epsilon > 1$ , reduction  $f_1$  satisfies

$$\begin{aligned} I \in SAT &\implies \text{MAX-3SAT}(f_1(I)) = 1 \\ I \notin SAT &\implies \text{MAX-3SAT}(f_1(I)) < \frac{1}{\epsilon} \end{aligned} \quad (6)$$

Then, (5) and (6) imply the following:

$$\begin{aligned} I \in SAT &\implies \text{OPT}(f(f_1(I))) = C_{t1} \\ I \notin SAT &\implies \text{OPT}(f(f_1(I))) > C_{t1} \left(1 + \frac{1}{200N} \left(1 - \frac{1}{\epsilon}\right)\right) \end{aligned} \quad (7)$$

Therefore, it is NP-hard to achieve an approximation ratio of  $1 + \frac{1}{200N} \left(1 - \frac{1}{\epsilon}\right)$  for problem  $\mathbb{P}_0$ . ■

Based on the definition of polynomial time approximation scheme (PTAS) and Theorem 2, we then have

*Corollary 1:* There is no polynomial time approximation scheme (PTAS) for problem  $\mathbb{P}_0$  when  $K \geq 3$ .

Based on the findings for  $\mathbb{P}_0$ , we have

*Theorem 3:* When  $K \geq 3$ , problems  $\mathbb{P}_1$ ,  $\mathbb{P}_2$ , and  $\mathbb{P}$  are strong NP-hard whether or not the routing structure is a tree or a linear chain, and there is no polynomial-time approximation scheme (PTAS) for solving them.

*Proof:* To prove the hardness results for  $\mathbb{P}_1$ , let's consider a special case  $\Pi_1$  of  $\mathbb{P}_1$  where 1) every node is generating information elements using the same period  $p_0$  and the same spare time  $s_0$  for information elements, 2)  $p_0$  is significantly larger than  $s_0$ , and 3)  $p_0$  is significantly larger than the latest time  $r_0$  when a node generates its first information element such that the following holds: in the optimal packing scheme for  $\Pi_1$ , no two elements from the same node can be aggregated into the same packet, and the  $i$ -th information element from one node cannot be packed with the  $j$ -th element from another node unless  $i = j$ . It is easy to see that the special case  $\Pi_1$  does exist by properly choosing the parameters  $p_0$ ,  $s_0$ , and  $r_0$ . Therefore, solving  $\Pi_1$  becomes the same as solving an instance  $\Pi_0$  of  $\mathbb{P}_0$  where the information elements consist of the first element from every node of  $\Pi_1$ . Therefore,  $\mathbb{P}_1$  is at least as hard as  $\mathbb{P}_0$ . Since  $\mathbb{P}_0$  is strong NP-hard,  $\mathbb{P}_1$  is strong NP-hard, and there is no PTAS for the problem. ■

Since  $\mathbb{P}_1$  is a special case of  $\mathbb{P}_2$ , and  $\mathbb{P}_2$  is a special case of  $\mathbb{P}$ , both  $\mathbb{P}_2$  and  $\mathbb{P}$  are strong NP-hard too, and there is no PTAS for them. ■

Theorems 1 and 3 show that the joint optimization problems are strong NP-hard and there is no PTAS, whether or not the routing structure is a tree or a linear chain and whether or not the information elements are of equal length. In contrast, Becchetti *et al.* [3] showed that, for total aggregation, the joint optimization problems are solvable in polynomial time via dynamic programming on chain networks. Therefore, we see that aggregation constraints make the difference on whether a problem is tractable for certain networks, and thus it is important to consider them in the joint optimization. Incidentally, we note that Theorem 3 also answers the open question on the complexity of Problem (P4) of batch-process scheduling in interval graphs [10].

## B. Complexity when $K = 2$

We showed in Section III-A that the problems  $\mathbb{P}_i$ ,  $i = 0, 1, 2$ , and  $\mathbb{P}$  are all strong NP-hard and there is no PTAS for these problems when  $K \geq 3$ . We prove in this section that, when  $K = 2$ , the complexity of these problems depends on whether information elements in a packet can be separated and re-packed with other elements (which we call *re-aggregation* hereafter) on their way to the sink. When re-aggregation is disallowed, these problems are solvable in polynomial time; otherwise they are strong NP-hard. Note that, when  $K \geq 3$ , these problems are all strong NP-hard even if re-aggregation is disallowed, which can be seen from the proof of Theorem 1. Note also that, even though re-aggregation may well be allowed in most sensor networks when the in-network processing (INP) method is packet packing, re-aggregation may not be possible or allowed when INP is data fusion such as lossy data compression [14]. Via the study on the impact of re-aggregation, therefore, we hope to shed light on the structure of the joint optimization problems when general INP methods are considered.

When  $K = 2$  and re-aggregation is allowed, the complexity of the joint optimization problems is very much similar to the case when  $K \geq 3$ ; that is, these problems are all strong NP-hard and there is no PTAS, whether or not the routing structure is a tree or a linear chain and whether or not the information elements are of equal length. Due to the limitation of space, we relegate the detailed proofs to [12], and we only discuss in detail the case when re-aggregation is prohibited as follows.

When  $K = 2$  and re-aggregation is prohibited, we can solve problem  $\mathbb{P}$  (and thus its special versions  $\mathbb{P}_0$ ,  $\mathbb{P}_1$ , and  $\mathbb{P}_2$ ) in polynomial time by transforming it into a maximum weighted matching problem in an interval graph. An interval graph  $G_I$  is a graph defined on a set  $I$  of intervals on the real line such that 1)  $G_I$  has one and only one vertex for each interval in the set, and 2) there is an edge between two vertices if the corresponding intervals intersect with each other. Given an instance of problem  $\mathbb{P}$ , we solve it using Algorithm 1 as follows:

For Algorithm 1, we have

*Theorem 4:* When  $K = 2$  and re-aggregation is prohibited, Algorithm 1 solves problem  $\mathbb{P}$  in  $O(n^3)$  time, where  $n$  is the number of information elements considered in the problem. This holds whether or not the routing structure is a tree or a linear chain, and whether or not the information elements are of equal length.

*Proof:* It is easy to see that if information elements  $u$  and  $h$  are packed together, the total number of transmissions taken to deliver  $u$  and  $h$  is  $ETX_{v_u R}(l_u) + ETX_{v_h R}(l_h) - ETX_{v_{uh} R}(l_u) - ETX_{v_{uh} R}(l_h) + ETX_{v_{uh} R}(l_u + l_h) = ETX_{v_u R}(l_u) + ETX_{v_h R}(l_h) - com(u, h)$ . Let  $V_I$  be the set of vertices in the interval graph  $G_I$ ,  $M$  be a matching in  $G_I$ ,  $V_1$  be the set of nodes in  $M$ , and  $V_2 = V_I/V_1$ . Then the weight of  $M$ , denoted by  $W_M$ , is as follows:

$$\begin{aligned} W_M &= \sum_{(u,h) \in M} com(u, h) \\ &= \sum_{(u,h) \in M} [ETX_{v_u R}(l_u) + ETX_{v_h R}(l_h) \\ &\quad - (ETX_{v_u R}(l_u) + ETX_{v_h R}(l_h) \\ &\quad - com(u, h))] \end{aligned}$$

---

**Algorithm 1** Algorithm for solving  $\mathbb{P}$  when  $K = 2$  and re-aggregation is prohibited

---

- 1: Generate an interval graph  $G_I(V_I, E_I)$  for problem  $\mathbb{P}$  as follows:
    - Select an arbitrary information element  $q$  generated by node  $v_q$  at time  $r_q$  and with spare time  $s_q$ , define an interval  $[r_q, r_q + s_q]$  for  $q$  on the real line.
    - For each remaining information element  $p$  generated by node  $v_p$  at time  $r_p$  and with spare time  $s_p$ , let node  $v_{pq}$  be the common ancestor of  $v_p$  and  $v_q$  that is the farthest away from  $R$  among all common ancestors of  $v_p$  and  $v_q$ , then define an interval  $[r_q - t_{v_q v_{pq}} + t_{v_p v_{pq}}, r_q - t_{v_q v_{pq}} + t_{v_p v_{pq}} + s_q]$  for information element  $p$ .
    - Let  $V_I = \emptyset$ . Then, for each information element  $s$ , define a vertex  $s$  and add it to  $V_I$ .
    - Let  $E_I = \emptyset$ . If the two intervals that represent any two information elements  $u$  and  $h$  overlap with each other, define an edge  $(u, h)$  and add it to  $E_I$ ; then assign edge  $(u, h)$  with a weight  $com(u, h) = ETX_{v_{uh}R}(l_u) + ETX_{v_{uh}R}(l_h) - ETX_{v_{uh}R}(l_u + l_h)$ , where  $l_u$  and  $l_h$  are the length of  $u$  and  $h$  respectively.
  - 2: Solve the maximum weighted matching problem for  $G_I$  using Edmonds' Algorithm [15].
  - 3: For each edge  $(u, h)$  in the matching, information elements  $u$  and  $h$  are packed together at node  $v_{uh}$ . For all other vertices not in the matching, their corresponding information elements are sent to the sink alone without being packed with any other information element.
- 

$$\begin{aligned}
 &= \sum_{(u,h) \in M} (ETX_{v_u R}(l_u) + ETX_{v_h R}(l_h)) \\
 &\quad - \sum_{(u,h) \in M} [ETX_{v_u R}(l_u) + ETX_{v_h R}(l_h) \\
 &\quad - com(u, h)] \\
 &= \sum_{s \in V_1} ETX_{sR}(l_s) + \sum_{v \in V_2} ETX_{vR}(l_v) \\
 &\quad - \{ \sum_{(u,h) \in M} [ETX_{v_u R}(l_u) + ETX_{v_h R}(l_h) \\
 &\quad - com(u, h)] \\
 &\quad + \sum_{v \in V_2} ETX_{vR}(l_v) \} \\
 &= \sum_{v \in V_I} ETX_{vR}(l_v) \\
 &\quad - \{ \sum_{(u,h) \in M} [ETX_{v_u R}(l_u) + ETX_{v_h R}(l_h) \\
 &\quad - com(u, h)] + \sum_{v \in V_2} ETX_{vR}(l_v) \}
 \end{aligned} \tag{8}$$

Note that  $\sum_{v \in V_I} ETX_{vR}(l_v)$  is a fixed value, and  $\sum_{(u,h) \in M} [ETX_{v_u R}(l_u) + ETX_{v_h R}(l_h) - com(u, h)] + \sum_{v \in V_2} ETX_{vR}(l_v)$  is the total number of transmissions, denoted by  $ETX_{total}$ , incurred in the packing scheme generated by Algorithm 1. Therefore,  $ETX_{total}$  is minimized if and only if  $W_M$  is maximized, which means that solving the maximum weighted matching problem can give us an optimal solution to the original packet packing problem.

Let  $n$  denote the total number of information elements in this problem. The whole algorithm consists of three parts. The first one is to define an interval graph and assign weights to each node and edge in the graph, whose time complexity is  $O(n^2)$ . The second part is to solve the maximum weighted matching problem, whose time complexity is  $O(n^3)$  by Edmonds' Algorithm [15]. And the third part is to convert the op-

timal matching problem to the optimal packing scheme, whose time complexity is  $O(n)$ . Therefore, the time complexity of the whole algorithm is  $O(n^2) + O(n^3) + O(n) = O(n^3)$ . ■

By the definition of the weight  $com(u, h)$  for elements  $u$  and  $h$  in Algorithm 1, the solution generated by the maximum weighted matching tends to greedily pack elements as soon as possible after they are generated. This observation motivates us to design a local, greedy online algorithm *tPack* in Section IV for the general joint optimization problems, and the effectiveness of this approach will be demonstrated through competitive analysis and testbed-based measurement study in Sections IV and V. Note that, incidentally, Theorem 4 also answers the open question on the complexity of scheduling batch-processes with release times in interval graphs [10].

#### IV. A UTILITY-BASED ONLINE ALGORITHM

We see from Section III that problem  $\mathbb{P}$  and its special cases in sensor networks are strong NP-hard in most system settings, and there is no polynomial-time approximation scheme (PTAS) for these problems. Instead of trying to find global optimal solution, therefore, we focus on designing a distributed, approximation algorithm *tPack* that optimizes the local utility of packet packing at each node. Given that packet arrival processes are usually unknown a priori, we consider the online version of the optimization problem.

Based on the definition of  $\mathbb{P}$ , its optimization objective is to minimize

$$AC = \frac{TX_{net}}{\sum_{x \in X} l_x} \tag{9}$$

where  $TX_{net}$  is the total number of transmissions taken to deliver each information element  $x \in X$  to the sink before its deadline. For convenience, we call  $AC$  the *amortized cost* of delivering  $\sum_{x \in X} l_x$  amount of data. In what follows, we design an online algorithm *tPack* based on this concept of amortized cost of data transmission.

When node  $j$  has a packet  $pkt$  in its data buffer,  $j$  can decide to transmit  $pkt$  immediately or to hold it. If  $j$  transmits  $pkt$  immediately, information elements carried in  $pkt$  may be packed with packets at  $j$ 's ancestors to reduce the amortized cost of data transmissions from those nodes; if  $j$  holds  $pkt$ , more information elements may be packed with  $pkt$  so that the amortized cost of transmission from  $j$  can be reduced. Therefore, we can define the *utility* of transmitting or holding  $pkt$  as the expected reduction in amortized data transmission cost as a result of the corresponding action, and then the decision on whether to transmit or to hold  $pkt$  depends on the utilities of the two actions. For simplicity and for low control overhead, we only consider the immediate parent of node  $j$  when computing the utility of transmitting  $pkt$ . We will show the goodness of this local approach through competitive analysis later in this section and through testbed-based measurement in Section V.

In what follows, we first derive the utilities of holding and transmitting a packet, then we present a scheduling rule that improves the overall utility.

### A. Utility calculation

For convenience, we define the following notations:

- $L$  : maximum payload length per packet;
- $ETX_{jp}(l)$  : expected number of transmissions taken to transport a packet of length  $l$  from node  $j$  to its ancestor  $p$ ;
- $p_j$  : the parent of node  $v_j$  in the routing tree.

The utilities of holding and transmitting a packet  $pkt$  at a node  $v_j$  depend on the following parameters related to traffic pattern:

- With respect to  $v_j$  itself and its children:

- $r_l$  : expected rate in receiving another packet  $pkt'$  from a child or locally from an upper layer;
- $s_l$  : expected payload size of  $pkt'$ .

- With respect to the parent of  $v_j$ :

- $r_p$  : expected rate for the parent to transmit another packet  $pkt''$  that does not contain information elements generated or forwarded by  $v_j$  itself;
- $s_p$  : expected payload size of  $pkt''$ .

The utilities of holding and transmitting a packet  $pkt$  also depend on the following constraints posed by timeliness requirement for data delivery as well as limited packet size:

- Grace period  $t'_f$  for delivering  $pkt$ : the maximum allowable latency in delivering  $pkt$  minus the maximum time taken to transport  $pkt$  from  $v_j$  to the sink without being held at any intermediate node along the route.

If  $t'_f \leq 0$ ,  $pkt$  should be transmitted immediately to minimize the extra delivery latency.

- Spare packet space  $s'_f$  of  $pkt$ : the maximum allowable payload length per packet minus the current payload length of  $pkt$ .

Parameter  $s'_f$  and the size of the packets coming next from an upper layer at  $v_j$  or from  $v_j$ 's children determine how much  $pkt$  will be packed and thus the potential utility of locally holding  $pkt$ .

In the design and analysis of this section, we assume that packet arrival process (i.e.,  $r_l$ ,  $r_p$ ), packet payload size and spare space (i.e.,  $s_l$ ,  $s_p$ ,  $s'_f$ ), and grace period (i.e.,  $t'_f$ ) are independent of one another. Then, the utilities of holding and transmitting a packet are calculated as follows.

**Utility of holding a packet.** When a node  $v_j$  holds a packet  $pkt$ ,  $pkt$  can be packed with incoming packets from  $v_j$ 's children or from an upper layer at  $v_j$ . Therefore, the utility of holding  $pkt$  at  $v_j$  is the expected reduction in the amortized cost of transmitting  $pkt$  after packing  $pkt$ . The utility depends on (a) the expected number of packets that  $v_j$  will receive within  $t'_f$  time (either from a child or locally from an upper layer), and (b) the expected payload size  $s_l$  of these packets. Given that the expected packet arrival rate is  $r_l$ , the expected number of packets to be received at  $v_j$  within  $t'_f$  time is  $t'_f r_l$ . Thus, the expected overall size  $\mathcal{S}'_l$  of the payload to be received within  $t'_f$  time is  $t'_f r_l s_l$ . Given the spare space  $s'_f$  in the

packet  $pkt$ , the expected size  $\mathcal{S}_l$  of the payload that can be packed into  $pkt$  can be approximated<sup>1</sup> as

$$\min\{\mathcal{S}'_l, s'_f\} = \min\{t'_f r_l s_l, s'_f\}. \quad (10)$$

Therefore, the expected amortized cost  $AC_l$  of transporting the packet to the sink  $R$  after the anticipated packing can be approximated as<sup>1</sup>

$$AC_l = \frac{1}{L - s'_f + \mathcal{S}_l} ETX_{jR}(L - s'_f + \mathcal{S}_l)$$

where  $(L - s'_f)$  is the payload length of  $pkt$  before packing.

Since the amortized cost  $AC'_l$  of transporting  $pkt$  without the anticipated packing is

$$AC'_l = \frac{1}{L - s'_f} ETX_{jR}(L - s'_f)$$

the utility  $U_l$  of holding  $pkt$  is

$$U_l = AC'_l - AC_l \quad (11)$$

**Utility of immediately transmitting a packet.** If node  $v_j$  transmits the packet  $pkt$  immediately to its parent  $p_j$ , the utility comes from the expected reduction in the amortized cost of packet transmissions at  $p_j$  as a result of receiving the payload carried by  $pkt$ . When  $v_j$  transmits  $pkt$  to  $p_j$ , the grace period of  $pkt$  at  $p_j$  is still  $t'_f$ , thus the expected number of packets that do not contain information elements from  $v_j$  and can be packed with  $pkt$  at  $p_j$  is  $t'_f r_p$ , and we use  $P_{pkt}$  to denote this set of packets. Given the limited payload that  $pkt$  carries, it may happen that not every packet in  $P_{pkt}$  gets packed (to full) via the payload from  $pkt$ . Accordingly, the utility  $U_p$  of immediately transmitting  $pkt$  is calculated as follows:

- If every packet in  $P_{pkt}$  gets packed to full with payload from  $pkt$ , i.e.,  $t'_f r_p(L - s_p) \leq L - s'_f$ :

Then, the overall utility  $U'_p$  can be approximated as<sup>1</sup>

$$\begin{aligned} U'_p &= \frac{t'_f ETX_{p_j R}(s_p)}{t'_f s_p} - \frac{t'_f ETX_{p_j R}(L)}{t'_f L} \\ &= \frac{ETX_{p_j R}(s_p)}{s_p} - \frac{ETX_{p_j R}(L)}{L} \end{aligned} \quad (12)$$

- If not every packet in  $P_{pkt}$  gets packed to full with payload from  $pkt$ , i.e.,  $t'_f r_p(L - s_p) > L - s'_f$ :

In this case,  $\lfloor \frac{L - s'_f}{L - s_p} \rfloor$  number of packets are packed to full; if  $\text{mod}(L - s'_f, L - s_p) > 0$ , there is also a packet that gets partially packed with  $\text{mod}(L - s'_f, L - s_p)$  length of payload from  $pkt$ . Thus the total number of packets that benefit from the packet transmission is  $\lceil \frac{L - s'_f}{L - s_p} \rceil$ . Denoting  $\text{mod}(L - s'_f, L - s_p)$  by  $l_{mod}$  and letting  $I_{mod}$  be 1 if  $l_{mod} > 0$  and 0 otherwise, then the overall utility  $U''_p$  can be approximated as<sup>1</sup>

$$\begin{aligned} U''_p &= \frac{\lceil \frac{L - s'_f}{L - s_p} \rceil ETX_{p_j R}(s_p)}{\lceil \frac{L - s'_f}{L - s_p} \rceil s_p} - \\ &\quad \frac{\lceil \frac{L - s'_f}{L - s_p} \rceil ETX_{p_j R}(L) + I_{mod} ETX_{p_j R}(s_p + l_{mod})}{\lceil \frac{L - s'_f}{L - s_p} \rceil s_p + L - s'_f} \end{aligned} \quad (13)$$

<sup>1</sup>We use this approximation because it is usually difficult to estimate and store the complete distributions of random variables in resource-constrained sensor nodes.

Therefore, the utility  $U_p$  of immediately transmitting  $pkt$  to  $p_j$  can be computed as

$$U_p = \begin{cases} U'_p & \text{if } t'_f r_p(L - s_p) \leq L - s'_f \\ U''_p & \text{otherwise} \end{cases} \quad (14)$$

where  $U'_p$  and  $U''_p$  are defined in Equations (12) and (13) respectively.

### B. Scheduling rule

Given a packet to be scheduled for transmission, if the probability that the packet is immediately transmitted is  $P_t$  ( $0 \leq P_t \leq 1$ ), then the expected utility  $U_t(P_t)$  is

$$U_t(P_t) = P_t \times U_p + (1 - P_t)U_l = U_l + P_t(U_p - U_l) \quad (15)$$

where  $U_p$  and  $U_l$  are the utilities of immediately transmitting and locally holding the packet respectively. To maximize  $U_t$ ,  $P_t$  should be set according to the following rule:

$$P_t = \begin{cases} 1 & \text{if } U_p > U_l \\ 0 & \text{otherwise} \end{cases}$$

That is, the packet should be immediately transmitted if the utility of immediate transmission is greater than that of locally holding the packet. For convenience, we call this local, distributed decision rule *tPack* (for *time-sensitive packing*). Interested readers can find the discussion on how to implement *tPack* in TinyOS in [12].

**Competitive analysis.** To understand the performance of *tPack* as compared with an optimal online algorithm, we analyze the competitive ratio of *tPack*. Since it is difficult to analyze the competitive ratio of non-oblivious online algorithms for arbitrary network and traffic pattern in the joint optimization and *tPack* is a non-oblivious algorithm, we only study the competitive ratio of *tPack* for complete binary trees where all the leaf nodes generate information elements according to a common data generation process, and we do not consider the impact of packet length on link ETX. We denote these special cases of problem  $\mathbb{P}$  as problem  $\mathbb{P}'$ . The theoretical analysis here is to get an intuitive understanding of the performance of *tPack*; we experimentally analyze the behaviors of *tPack* with different networks, traffic patterns, and application requirements through testbed-based measurement in Section V. We relegate the study on the competitive ratio of *tPack* as well as the lower bound on the competitive ratio of non-oblivious online algorithms for the general problem  $\mathbb{P}$  as a part of our future work. (Note that the best results so far on the lower bound of the competitive ratio of joint INP- and latency- optimization also only considered the cases where only leaf nodes generate information elements [4], and these results are for oblivious algorithms and for cases where no aggregation constraint is considered [4].)

Then, we have

**Theorem 5:** For problem  $\mathbb{P}'$ , *tPack* is  $\min\{K, \max_{v_j \in V_{>1}} \frac{2ETX_{v_j R}}{2ETX_{v_j R} - ETX_{p_j R}}\}$ -competitive, where  $K$  is the maximum number of information elements that can be packed into a single packet,  $V_{>1}$  is the set of nodes that are at least two hops away from the sink  $R$ .

*Proof:* For convenience, we denote the optimal packing scheme as *OPT*. By definition, *tPack* is at least  $K$ -competitive since, considering the packets transmitted by a given node  $v_i$  in the routing tree, the length of the packet containing an information element  $x$  in *OPT* is no more than  $K$  times the length of the packet containing  $x$  in *tPack*.

To get a tighter performance bound for *tPack*, we first analyze the packet length for the packets transmitted by a leaf node  $v_j$ . Suppose that  $v_j$  transmits a packet  $pkt$  with length  $l_{pkt}$  when the latency requirement could have allowed packing another  $l'$  amount of data with the packet. In this case, the utility of holding  $pkt$  is

$$U_l = \frac{ETX_{v_j R}}{l_{pkt}} - \frac{ETX_{v_j R}}{l_{pkt} + l'} = ETX_{v_j R} \frac{l'}{l_{pkt}(l_{pkt} + l')} \quad (16)$$

By definition, the utility of immediately transmitting  $pkt$  is no more than the transmission utility that would be generated if the information elements of  $pkt$  are all packed into another packet  $pkt^*$  at  $p_j$ , the parent of  $v_j$ , that was transmitted to  $p_j$  from its child other than  $v_j$ . Given that the routing tree is a complete binary tree and that the leaf nodes generate information elements according to a common data generation process, the lengths of packets that are transmitted along links at the same tree level are expected to be the same. Thus we can assume that the payload length of  $pkt^*$  is also  $l_{pkt}$ . Therefore, the utility of immediately forwarding  $pkt$  at  $v_j$  satisfy the following inequality

$$U_p \leq \frac{ETX_{p_j R}}{l_{pkt}} - \frac{ETX_{p_j R}}{l_{pkt} + l_{pkt}} = \frac{ETX_{p_j R}}{2l_{pkt}} \quad (17)$$

By the design of *tPack*, we know that  $U_l < U_p$ . From (16) and (17), thus we have

$$ETX_{v_j R} \frac{l'}{l_{pkt}(l_{pkt} + l')} < \frac{ETX_{p_j R}}{2l_{pkt}}$$

Thus

$$l' < \frac{a}{2-a} l_{pkt} \quad (18)$$

where  $a = \frac{ETX_{p_j R}}{ETX_{v_j R}}$ .

Due to the constraint imposed by application's requirement on the timeliness of data delivery, we know that the length of the packet, denoted by  $l_{opt}$ , that contains the information elements of  $pkt$  in *OPT* is no more than  $l_{pkt} + l'$ . Then from (18), we know that

$$l_{opt} \leq l_{pkt} + l' < \frac{2}{2-a} l_{pkt} = \frac{2ETX_{v_j R}}{2ETX_{v_j R} - ETX_{p_j R}} l_{pkt}$$

That is,

$$\frac{l_{opt}}{l_{pkt}} < \frac{2ETX_{v_j R}}{2ETX_{v_j R} - ETX_{p_j R}} \quad (19)$$

For a node  $v_i$  that is not a leaf node, the same analysis applies. Given a packet  $pkt'$  of length  $l_{pkt'}$  that is transmitted by  $v_i$  when the latency requirement could have allowed packing another  $l''$  amount of data with  $pkt'$ , we have

$$l'' < \frac{a'}{2-a'} l_{pkt'} \quad (20)$$



where  $a' = \frac{ETX_{p_i R}}{ETX_{v_i R}}$ . Moreover, the length of the packet, denoted by  $l_{opt'}$ , that contains the information elements of  $pkt'$  in OPT is no more than  $l_{pkt'} + l''$ ; this is due to the following reasons:

- If a packet  $pkt_{max}$  contains  $l_{pkt'} + l''$  amount of data payload without constrained by packet size limit, then the spare time of  $pkt_{max}$  is 0.
- Consider a packet  $pkt''$  transmitted by  $v_i$  in OPT whose length is  $l_{opt'}$ . If  $v_i$  holds  $pkt''$  until its spare time is 0 (instead of transmitting  $pkt''$ ) in OPT, the resulting length of the new packet  $pkt''_0$  is no more than  $l_{pkt'} + l''$ . This is because data flows faster toward the sink in tPack as compared with OPT, and  $pkt'$  reaches  $v_i$  earlier than  $pkt''$  does.
- Therefore,  $l_{opt'}$  is no more than the length of  $pkt''_0$ , which is no more than  $l_{pkt'} + l''$ . Thus,  $l_{opt'} \leq l_{pkt'} + l''$

Therefore, we have

$$\frac{l_{opt'}}{l_{pkt'}} < \frac{2ETX_{v_i R}}{2ETX_{v_i R} - ETX_{p_i R}} \quad (21)$$

From (19) and (21), we know that tPack is at least  $O(\max_{v_j \in V_{>1}} \frac{2ETX_{v_j R}}{2ETX_{v_j R} - ETX_{p_j R}})$ -competitive. Therefore, tPack is  $\min\{K, \max_{v_j \in V_{>1}} \frac{2ETX_{v_j R}}{2ETX_{v_j R} - ETX_{p_j R}}\}$ -competitive for problem  $\mathbb{P}'$ . ■

From Theorem 5, we see that tPack is 2-competitive if every link in the network is of equal ETX value.

## V. PERFORMANCE EVALUATION

To characterize the impact of packet packing and its joint optimization with data delivery timeliness, we experimentally evaluate the performance of tPack.

### A. Methodology

**Testbed.** We use the *NetEye* wireless sensor network testbed at Wayne State University [16]. *NetEye* is deployed in an indoor office as shown in Figure 3. We use a  $10 \times 13$  grid of



Fig. 3. *NetEye* wireless sensor network testbed

TelosB motes in *NetEye*, where every two closest neighboring motes are separated by 2 feet. Out of the 130 motes in *NetEye*, we randomly select 120 motes (with each mote being selected with equal probability) to form a random network for our experimentation. Each of these TelosB motes is equipped with a 3dB signal attenuator and a 2.45GHz monopole antenna.

In our measurement study, we set the radio transmission power to be -25dBm (i.e., power level 3 in TinyOS) such that

multihop networks can be created. We also use channel 26 of the CC2420 radio to avoid external interference from sources such as the campus WLANs. We use the TinyOS collection-tree-protocol (CTP) [17] as the routing protocol to form the routing structure, and we use the Iowa's Timesync protocol [18] for network wide time synchronization.

**Protocols studied.** To understand the impact of packet packing and its joint optimization with data delivery timeliness, we comparatively study the following protocols:

- *noPack*: information elements are delivered without being packed in the network.
- *simplePack*: information elements are packed if they happen to be buffered in the same queue, but there is not packing-oriented scheduling.
- *SL*: the *spread latency* algorithm proposed in [3], where the spare time of an information element is evenly spent at each hop from its source to the sink without considering specific network conditions (e.g., network-wide traffic pattern). SL was proposed with total aggregation in mind without considering aggregation constraints such as maximum packet size.
- *CC*: the *common clock* algorithm proposed in [3], where the spare time of an information element is only partly spent at the node where it is generated. Same as SL, CC was proposed with total aggregation in mind.
- *tPack*: the packing- and timeliness-oriented scheduling algorithm that maximizes the local utility at each node, as we discussed in Section IV. (We have also evaluated another version of tPack, denoted by *tPack-2hop*, where the forwarding utility  $U_p$  considers both the parent node and the parent's parent; we find that tPack-2hop does not bring significant improvement over tPack while introducing higher overhead and complexity, thus our discussion here only focuses on tPack.)

We have implemented, in TinyOS [19], a system library which includes all the above protocols. The implementation takes 40 bytes of RAM (plus the memory required for regular packet buffers) and 4,814 bytes of ROM.

**Performance metrics.** For each protocol we study, we evaluate their behavior based on the following metrics:

- *Packing ratio*: number of information elements carried in a packet;
- *Delivery reliability*: percentage of information elements correctly received by the sink;
- *Delivery cost*: number of transmissions required for delivering an information element from its source to the sink;
- *Deadline catching ratio*: out of all the information elements received by the sink, the percentage of them that are received before their deadlines;
- *Latency jitter*: variability of the time taken to deliver information elements from the same source node, measured by the coefficient-of-variation (COV) [20] of information delivery latency.

**Traffic pattern.** To experiment with different sensor network scenarios, we use both periodic data collection traffic and event

detection traffic trace as follows:

- *D3*: each source node periodically generates 50 information elements with an inter-element interval, denoted by  $\Delta_r$ , uniformly distributed between 500ms and 3s; this is to represent high traffic load scenarios.
- *D6*: same as *D3* except that  $\Delta_r$  is uniformly distributed between 500ms and 6s; this is to represent relatively low traffic load scenarios.
- *D9*: same as *D3* except that  $\Delta_r$  is uniformly distributed between 500ms and 9s.
- *E<sub>lites</sub>*: an event traffic where a source node generates one packet based on the Lites [21] sensornet event traffic trace.

To understand the impact of the timeliness requirement of data delivery, we experiment with different latency requirements. For periodic traffic, we consider maximum allowable latency in delivering information elements that is 1, 3, and 5 times the average element generation period, and we denote them by *L1*, *L3*, and *L5* respectively; for event traffic, we consider maximum allowable latency that is 2s, 4s, or 6s, and we denote them by *L2'*, *L4'*, and *L6'* respectively. Out of the 120 motes selected for experimentation, we let the mote closest to a corner of NetEye be the sink node, and the other mote serves as a traffic source if its node ID is even. For convenience, we regard a specific combination of source traffic model and latency requirement a *traffic pattern*. Thus we have 8 traffic patterns in total. To gain statistical insight, we repeat each traffic pattern 20 times. Note that, in each traffic pattern, all the information elements have the same maximum allowable latency. In our implementation, each information element is 16-byte long, and the TelosB motes allow for aggregating up to 7 information elements into a single packet (i.e.,  $K = 7$ ).

### B. Measurement results

In what follows, we present the measurement results for periodic traffic patterns *D3* and *D6*. Similar phenomena are observed for the periodic traffic pattern *D9* and the event traffic pattern *E<sub>lites</sub>*; for conciseness, we relegate the detailed discussion on *D9* and *E<sub>lites</sub>* to [12]. In most figures of this section, we present the means/medians and their 95% confidence intervals for the corresponding metrics such as the packing ratio, delivery reliability, delivery cost, deadline catching ratio, and the latency jitter.

For the periodic traffic pattern *D3*, Figures 4-8 show the packing ratio, delivery reliability, delivery cost, deadline catching ratio, and latency jitter in different protocols. *tPack* tends to enable higher degree of packet packing (i.e., larger packing ratio) than other protocols except the CC protocol. The increased packing in *tPack* reduces channel contention and thus reduces the probability of packet transmission collision, which improves data delivery reliability. The reduced probability of transmission collision and the increased number of information elements carried per packet in *tPack* in turn reduces delivery cost, since there are fewer number of packet retransmissions as well as fewer number of packets generated. Note that the low delivery reliability in *simplePack* is due to intense channel contention.

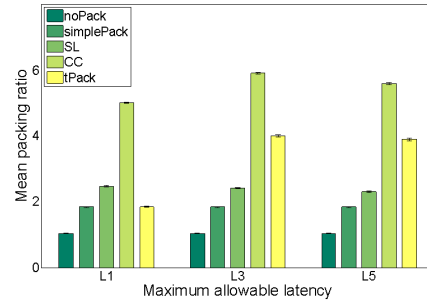


Fig. 4. Packing ratio: *D3*

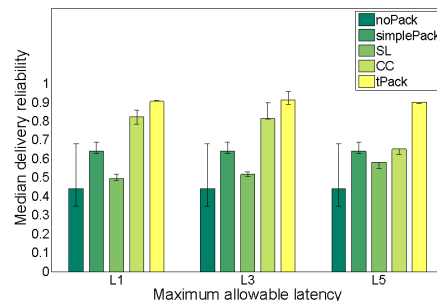


Fig. 5. Delivery reliability: *D3*

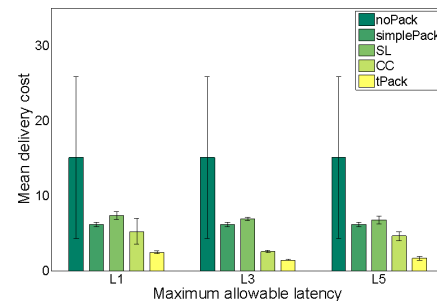


Fig. 6. Delivery cost: *D3*

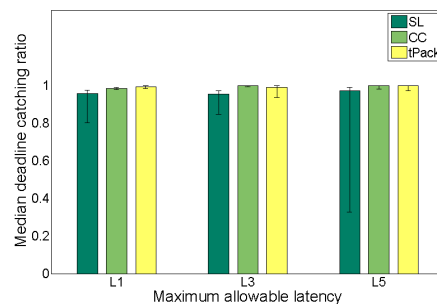
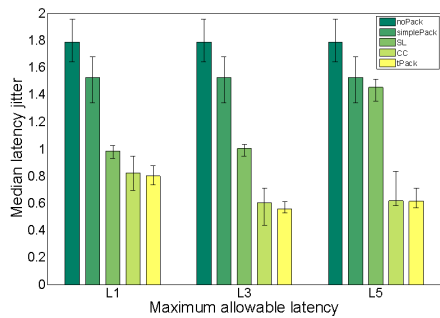
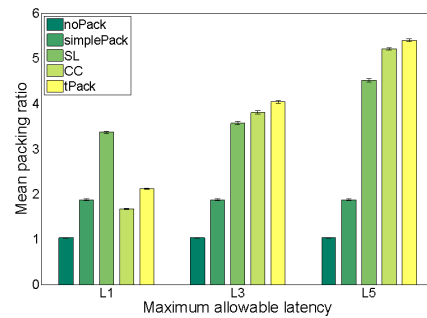
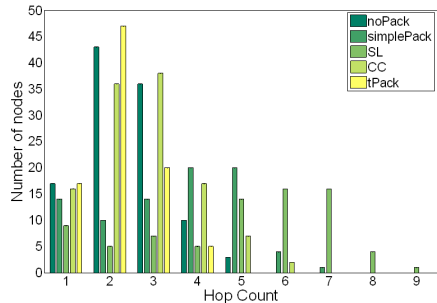
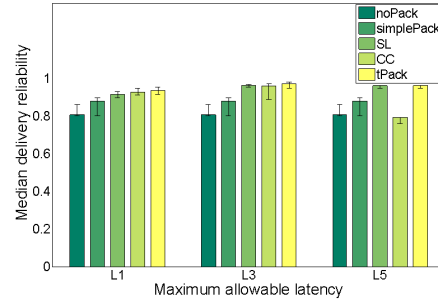


Fig. 7. Deadline catching ratio: *D3*

Fig. 8. Latency jitter:  $D3$ Fig. 10. Packing ratio:  $D6$ Fig. 9. Histogram of routing hop count:  $D3$  with maximum allowable latency  $L1$ Fig. 11. Delivery reliability:  $D6$ 

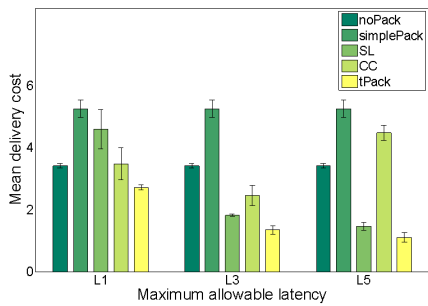
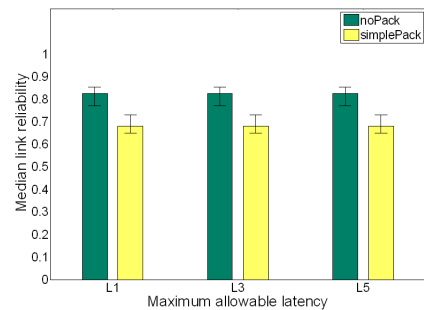
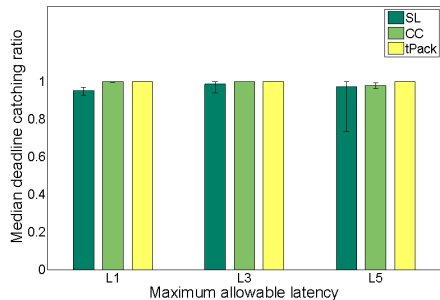
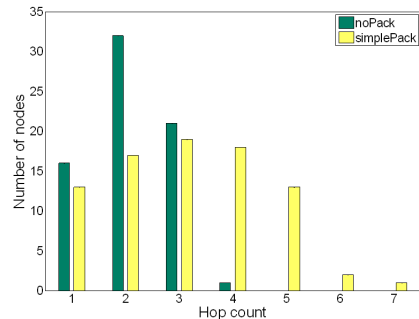
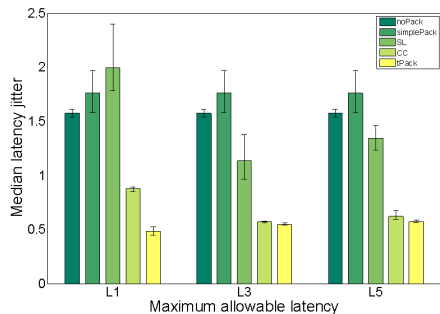
Exceptions to the above general observation happen in the case of maximum allowable latency  $L1$  or when comparing tPack with CC. In the first case, the packing ratio in tPack is lower than that in SL, but tPack still achieves much higher delivery reliability (i.e., by more than 40%) and much lower delivery cost (i.e., by a factor of more than 3). This is because the packing ratio in SL is too high such that, in the presence of high wireless channel contention due to the high traffic load of  $D3$  and the stringent real-time requirement of  $L1$ , the resulting long packet length leads to higher packet error rate and lower packet delivery reliability (as shown in Figure 5). The routing protocol CTP adapts to the higher packet error rate in SL, and this leads to longer routes and larger routing hops in SL. This can be seen from Figure 9 which shows the histogram of routing hop counts in different protocols. The maximum hop count in tPack is 4, whereas the hop count can be up to 9 in SL. Together, the higher packet error rate and the longer routes in SL lead to larger delivery cost in SL as compared with tPack. Similar arguments apply to the case when comparing tPack with CC. From these data on the benefits of tPack in comparison with SL and CC, we can see the importance of adapting to network conditions and data aggregation constraints in in-network processing. Note that similar arguments also explain the phenomenon where SL has higher packing ratio than simplePack but lower delivery reliability and higher delivery cost under all latency settings of  $D3$  traffic.

Figure 5 also shows that tPack improves data delivery reliability even when the allowable latency in data delivery is small (e.g., in the case of  $L1$ ) where the inherent probability

for packets to be packed tends to be small. Therefore, tPack can be used for real-time applications where high data delivery reliability is desirable. Figure 4 shows that the packing ratio in tPack is close to 4 except for the case of  $L1$  where 1) too much packing is undesirable as discussed earlier and 2) the packing probability is significantly reduced by the limited probability for a node to wait due to stringent timeliness requirement. Our offline analysis shows that the optimal packing ratio is  $\sim 5$  for the traffic patterns  $D3-L3$  and  $D3-L5$ ; thus tPack achieves a packing ratio very close to the optimal, which corroborates our analytical result in Theorem 5.

Figure 7 shows the deadline catching ratio in deadline-aware data aggregation schemes tPack, SL, and CC. Though the deadline catching ratio of all the three protocols are close to 1, the catching ratio of tPack is the highest and is greater than 0.99 in all cases. The slightly higher deadline catching ratio in tPack is a result of its online adaptation of packet holding time at each hop according to in-situ channel and traffic conditions along the path. As a result of the properly controlled packet packing, the reduced channel contention and improved packet delivery reliability in tPack also help enable lower performance variability. For instance, Figure 8 shows the latency jitter in different protocols, and we see that the jitter tends to be the lowest in tPack, especially when the real-time requirement is stringent (e.g., in  $L1$  and  $L3$ ). These properties are desirable in cyber-physical-system (CPS) sensor networks where real-time sensing and control require predictable data delivery performance (e.g., in terms of low latency jitter), especially in the presence of potentially unpredictable, transient perturbations.

Figures 10-14 show the measurement results for the periodic traffic pattern  $D6$ . We see that, in terms of relative protocol

Fig. 12. Delivery cost:  $D6$ Fig. 15. Link reliability:  $D6$ Fig. 13. Deadline catching ratio:  $D6$ Fig. 16. Histogram of routing hop count:  $D6$  with maximum allowable latency  $L1$ Fig. 14. Latency jitter:  $D6$ 

performance, the overall trends in  $D6$  are similar to those in  $D3$ . For instance, with stringent real-time requirement in  $L1$ ,  $SL$  achieves a lower delivery reliability and a higher delivery cost than  $tPack$  even though the packing ratio tends to be higher in  $SL$ . Due to the reduced traffic load and thus the reduced wireless channel contention and collision, however, the delivery reliability of  $noPack$ ,  $simplePack$ , and  $SL$  is also relatively high compared with their delivery reliability in  $D3$ .

Note that, in [3],  $CC$  is shown to have a much higher competitive ratio than  $SL$  through theoretical analysis. From our measurement study, however, we see that the performance of  $CC$  is not always better than  $SL$ . For instance,  $CC$  has a lower delivery reliability and a higher delivery cost than  $SL$  in  $D6 - L5$ . This seemingly discrepancy is due to the fact that the theoretical analysis of [3] does not consider the limit of data aggregation capacity, nor does it consider wireless link unreliability and interference in scheduling.

Surprisingly, Figures 10-12 show that, for the traffic pattern

$D6$ ,  $simplePack$  introduces higher delivery cost than  $noPack$  does even though the packing ratio and the end-to-end delivery reliability are higher in  $simplePack$ . One reason for this is that, partially due to the increased packet length in  $simplePack$ , the link reliability in  $simplePack$  is lower than that in  $noPack$  as shown in Figure 15.<sup>2</sup> The routing protocol  $CTP$  adapts to the lower link reliability in  $simplePack$  and introduces longer routing hop length, which can be seen from Figure 16 which shows the histogram of routing hop counts for  $noPack$  and  $simplePack$  in traffic pattern  $D6-L1$ . Together, the lower link reliability and the longer routes in  $simplePack$  introduce larger information delivery cost when compared with  $noPack$  in  $D6$ . This observation is also corroborated by the detailed analysis of the cost (e.g., mean number of transmissions) taken to deliver an information element. For instance, Figure 17 shows the mean cost of delivering an information element from a node at different geographic distances (in terms of the number of grid hops) from the base station for the traffic pattern  $D6-L1$ . (Similar phenomena are observed for other traffic patterns.) We see that, for most of the cases, the per-element delivery cost is higher in  $simplePack$ . Note that similar arguments explain why  $SL$  also has higher delivery cost than  $noPack$  in several cases (e.g., for traffic pattern  $D6-L1$ ). In view with the consistently better performance in  $tPack$ , these observations demonstrate again the importance of considering network conditions and data aggregation constraints in in-

<sup>2</sup>The reason why  $simplePack$  still has higher end-to-end information element delivery reliability despite its lower link reliability is because each packet delivered in  $simplePack$  carries more information elements due to the higher packing ratio.



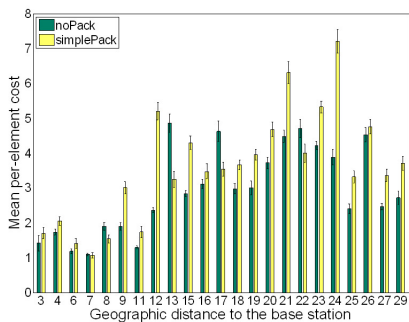


Fig. 17. Per-element delivery cost vs. geographic distance:  $D_6$  with maximum allowable latency  $L_1$

network processing.

## VI. RELATED WORK

In-network processing (INP) has been well studied in sensor-nets, and many INP methods have been proposed for query processing (e.g., TinyDB [1]) and general data collection (e.g., DFuse [2]). When controlling spatial and temporal data flow to enhance INP, however, these methods did not consider application requirements on the timeliness of data delivery. As a first step toward understanding the interaction between INP and application QoS requirements, our study has shown the benefits as well as the challenges of jointly optimizing INP and QoS from the perspective of packet packing. As sensor-nets are increasingly being deployed for mission-critical tasks, it becomes important to address the impact of QoS requirements on general INP methods other than packet packing, which opens interesting avenues for further research.

As a special INP method, packet packing has also been studied for sensor-nets as well as general wireless and wired networks, where mechanisms have been proposed to adjust the degree of packet packing according to network congestion level [5], [22], to address MAC/link issues related to packet packing [23], [6], [24], to enable IP level packet packing [25], and to pack periodic data frames in automotive applications [26]. These works have focused on issues in local, one-hop networks without considering requirements on maximum end-to-end packet delivery latency in multi-hop networks. With the exception of [26], these works did not focus on scheduling packet transmissions to improve the degree of packet packing, and they have not studied the impact of finite packet size either. Saket et al. [26] studied packet packing in single-hop controller-area-networks (CAN) with finite packet size. Our work addresses the open questions on the complexity and protocol design issues for jointly optimizing packet packing and data delivery timeliness in multi-hop wireless sensor-nets.

Most closely related to our work are [3], [27], [28] where the authors studied the issue of optimizing INP under the constraint of end-to-end data delivery latency. But these studies did not consider aggregation constraints and instead assumed *total aggregation* where any arbitrary number of information elements can be aggregated into one single packet. These studies did not evaluate the impact of joint optimization on data delivery performance either. Our work focuses on settings

where packet size is finite, and we show that aggregation constraints (in particular, maximum packet size and re-aggregation tolerance) significantly affect the problem complexity and protocol design. Using a high-fidelity sensor-net testbed, we also systematically examine the impact of joint optimization on packet delivery performance in multi-hop wireless networks. By showing that tPack performs better than the algorithm SL and CC [3], [28], our testbed based measurement results also demonstrate the benefits of considering realistic aggregation constraints in the joint optimization.

Solis et al. [29] also considered the impact that the timing of packet transmission has on data aggregation, and the problem of minimizing the sum of data transmission cost and delay cost has been considered in [4] and [30]. These studies also assumed total aggregation, and they did not consider hard real-time requirements on maximum end-to-end data delivery latency. Ye et al. [31] considered the local optimal stopping rule for data sampling and transmission in distributed data aggregation. It did not consider hard real-time requirement either, and it did not study network-wide coordination and the limit of data aggregation. Yu et al. [32] studied the latency-energy tradeoff in sensor-net data gathering by adapting radio transmission rate; it did not study the issue of scheduling data transmission to improve the degree of data aggregation.

## VII. CONCLUDING REMARKS

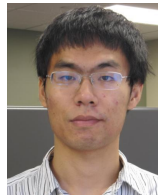
Through both theoretical and experimental analysis, we examine the complexity and impact of jointly optimizing packet packing and the timeliness of data delivery. We find that aggregation constraints (in particular, maximum packet size and re-aggregation tolerance) affect the problem complexity more than network and traffic properties do, which suggest the importance of considering aggregation constraints in the joint optimization. We identify conditions for the joint optimization to be strong NP-hard and conditions for it to be solvable in polynomial time. For cases when it is polynomial-time solvable, we solve the problem by transforming it to the maximum weighted matching problem in interval graphs; for cases when it is strong NP-hard, we prove that there is no polynomial-time approximation scheme (PTAS) for the problem. We also develop a local, distributed online protocol tPack for maximizing the local utility of each node, and we prove the competitiveness of the protocol with respect to optimal solutions. Our testbed-based measurement study also corroborates the importance of QoS- and aggregation-constraint aware optimization of packet packing.

While this paper has extensively studied the complexity, algorithm design, and impact of jointly optimizing packet packing and data delivery timeliness, there are still a rich set of open problems. Even though we have analyzed the competitiveness of tPack for non-trivial scenarios and this has given us insight into the behavior of tPack, it remains an open question on how to characterize in a closed form the competitiveness of tPack and non-oblivious online algorithms in broader contexts. The analytical and algorithmic design mechanisms developed for packet packing may well be extensible to address other in-network processing methods such as data fusion, and a detailed

study of this will help us better understand the structure of the joint optimization problem and will be interesting future work to pursue. We have focused on the scheduling aspect of the joint optimization, and we are able to use mathematical tools such as interval graphs to model the problem; on the other hand, how to mathematically model and analyze the impact of the joint optimization on spatial data flow is still an open question and is beyond the scope of most existing network flow theory, thus it will be interesting to explore new approaches to modeling and solving the joint optimization problem.

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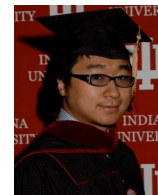
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